

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Kendra Gallup

Serial No.: 10/665,680

Examiner: Chiem, Dinh D.

Filing Date: September 19, 2003

Group Art Unit: 2883

Title: OPTICAL DEVICE PACKAGE WITH TURNING MIRROR AND ALIGNMENT POST

COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (a) ☐ Under 37 CFR 1.97(b).  
(Within three months of the filing date of the national application or date of entry of the national stage, before the mailing of the first Office Action on the merits, including a first Office Action following an RCE whichever occurs last).
- (b) ☒ Under 37 CFR 1.97(c) together with **either** a:  
☒ Statement under CFR 1.97(e), or  
☐ \$180.00 fee under 37 CFR 1.17(p).  
(After the CFR 1.97(b) time period, but before the mailing date of the Final Action, Notice of Allowance, or action closing prosecution).
- (c) ☐ Under 37 CFR 1.97(d) together with: a  
Statement under 37 CFR 1.97(e), **and**  
\$180.00 fee as set forth in 37 CFR 1.17(p).  
(After the CFR 1.97(c) time period, but on or before payment of the Issue Fee).

☒ STATEMENT UNDER 37 CFR 1.97(e)

The undersigned certifies that:

- ☒ Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement, **or**
- ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

☐ PRIOR APPLICATIONS

References identified with an asterisk (\*) in the enclosed PTO Form 1449, were disclosed in prior Patent Application No. \_\_\_\_\_, filed \_\_\_\_\_, now U.S. Patent No. \_\_\_\_\_, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☐ FOREIGN LANGUAGE DOCUMENTS

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.C./

☒ **FEE AUTHORIZATION**

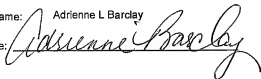
Please charge to Deposit Account **50-3718** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-3718** pursuant to 37 CFR 1.25.

☒ I hereby certify that this paper is being electronically transmitted to the Commissioner for Patents on the date shown below.

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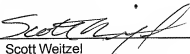
Signature:



Respectfully submitted,

Kendra Gallup

By

  
Scott Weitzel  
Attorney/Agent for Applicant(s)

Reg. No. 54,534

Date: 06/30/2008

Telephone No. 970.288.0747

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.C./

Substitute for form 1449A/PTO					
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/665,680
				Filing Date	September 19, 2003
				First Named Inventor	Gallup, Kendra
				Art Unit	2883
				Examiner Name	Chiem, Dinh D.
Sheet	1	of	1	Attorney Docket No: 10030768-01	

US PATENT DOCUMENTS					
Examiner Initial *	Cite No	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Filing Date If Appropriate
/E.C./		US-6126325	10/01/2000	Yamane et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of cited Document	T <sup>2</sup>
/E.C./		EP-0942302	09/01/1999	Hauer, , et al.	
		EP-1146570	10/17/2001	Weigert, Martin , et al.	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>

EXAMINER

/Erin Chiem/

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)  
\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional) \* Applicant is to place a check mark here if English language translation is attached

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.C./